

Momentum Gibraltar Pension Plan

Appointment of an Investment Manager (also known as Discretionary Fund Manager (DFM))

1. Member Details

Surname	Forname(s)	Date of Birth
Underlying Investment Platform		Policy Number
Employment Status <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Pensioner <input type="checkbox"/> Other _____		
Occupation*	Industry*	Employer* (If applicable)

*If you did not indicate employed or self-employed above, please confirm details of last employment/self-employment held.

For compliance with Anti Money Laundering and Countering the Financing of Terrorism and Countering Proliferation Financing Regulations, we are legally required to obtain the following information. Under which bracket do you value your Total Assets and Net Worth:

☐ €0-200,000
 ☐ €200,001-500,000
 ☐ €500,001-1,000,000
 ☐ €1,000,001-4,999,999
 ☐ Above €5,000,000

2. Investment Manager Details

I confirm that I wish to appoint the following Investment Manager, to manage my scheme investments on my behalf, on a discretionary basis, subject to Trustee approval.

Company Name	Investment Manager Contact
Email Address	Telephone Number
Business Address	Registered Office Address (if different)

3. Investment Manager Fees

This section should only detail fees payable to the selected Investment Manager (DFM). Please ensure you have reviewed the Investment Manager fee literature before signing the Member Declaration section below.

Investment Manager fee disclosure - attached and signed by you confirming your agreement to all fees payable? ☐ Yes ☐ No

If the Investment Manager fee disclosure is not attached, the following should be completed by the Investment Manager or your Investment Adviser on your behalf, where permitted under their Term of Business with the Investment Manager.

Current Ongoing Investment Manager Fee % per annum*	Current Investment Manager Administration Fees per annum
Custodian fees	Dealing fees per trade
Other fees and costs payable**	

*In the case of percentage fees stated above, the fee amount will be based on the percentage of the current investment value at the date the fee is computed and will be deducted from your investments directly, generally on a monthly or quarterly basis.

** This should include any setup fees, surrender fees, banking charges or any other fees not disclosed above including one-offs and recurring fees. A breakdown of each of these fees should be provided.

4. Adviser Fees

Initial Adviser Fee	Ongoing Adviser Fee
% of Total Amount Invested	%* per annum

5. Underlying Investment Fees

Alternatively, where specific investments have been selected at the discretion of the appointed Investment Manager and are known at the point of completing this form, the following section must be completed for each such investment.

[illegible]

The fees and commission payable on your underlying investment(s) will depend upon the actual investments chosen by you.

¹This represents the fee deducted from your investment at the point of purchase of this investment.

²This represents fees or charges embedded within the investment product and often reflected in the investment returns offered.

²This represents fees or charges embedded within the investment product and often reflected in the investment returns offered

³This represents how much of the upfront fee is payable to the appointed Adviser as a commission or fee payment

⁴This is the total annual percentage fee payable on this investment, inclusive as published by the Investment Manager or fund house.

⁵This represents how much of the total annual fee will be paid as an ongoing fee/commission to the appointed Adviser

6. Investment Manager Declaration

I confirm the above fee disclosure, represents a true and accurate disclosure of all fees payable and agreed for payment for the services offered and on the underlying investments.

Adviser only – where relevant: I confirm I have provided the Member with the Investment Manager current literature and fee disclosure and I have the authority to complete this fee disclosure for and on behalf of the Investment Manager in line with the Terms of Business in place between my Adviser firm and the Investment Manager.

Signature

Full Name (in block capitals)

Date

Company Name (in block capitals)

7. Member Declaration

I confirm that:

- I have reviewed and understood the Investment Manager documentation, including the fee disclosures provided to me by the Investment Manager or my appointed Investment Adviser on their behalf.
- The Investment Manager and/or my Investment Adviser (on their behalf) has provided me with a full disclosure of all fees and charges payable to the Investment Manager for their services including dealing charges, custodian fees, initial and ongoing fees payable on any underlying investments to be purchased and any Adviser fee or remuneration, where applicable and which concurs with the information included within this fee disclosure.
- The Investment Manager and/or my Investment Adviser (on their behalf) has fully disclosed the integration of the sustainability risks, the consideration of adverse sustainability impacts and the provision of sustainability-related information with respect to the Scheme and Investment.
- I confirm that where I remain invested through my current investment provider/insurance company, that I understand that charges will continue to be payable to my existing provider or insurance company, details of which have been confirmed by my Adviser.
- I fully understand the Investment Manager and underlying investment fees may vary over time, and understand it is the responsibility of my Investment Manager to keep me updated on a regular basis as the fees vary. I understand this may be done by notifying me directly, through my Investment Adviser or by provision of a regular report available through my account or as confirmed to me otherwise.

Member Signature

Member Name (in block capitals)

Date

8. Notes

- Momentum can only accept the appointment of an Investment Manager which is on our Approved Discretionary Fund Manager list.
- The Investment Company may require the Discretionary Fund Manager to sign their specific form too, if so ensure that this is also returned to Momentum in order for the appointment to take effect.

Momentum Pensions (Gibraltar) Limited is regulated by the Gibraltar Financial Services Commission as a Pension Scheme Operator for the Momentum Gibraltar Pension Plan, holding permissions under the Gibraltar Financial Service Act 2019 to carry out the regulated activities of establishing, operating or winding up a Personal Pension Scheme under Permission Number 19015.